



Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Application Number</b>	09/993,888		
	<b>Filing Date</b>	November 27, 2001	
	<b>First Named Inventor</b>	Mark E. Pennell	
	<b>Group Art Unit</b>	2152	
	<b>Examiner Name</b>	not yet known	
<b>Total Number of Pages in This Submission</b>	4 **	<b>Attorney Docket Number</b>	10005.000110

### ENCLOSURES (check all that apply)

☐ Fee Transmittal Form (in duplicate)

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Express Abandonment Request

☐ Information Disclosure Statement

☒ Copy of Notice of Incomplete Reply (NONPROVISIONAL)

☒ Response to Notice of Incomplete Reply (NONPROVISIONAL)

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Letter to the Official Draftsperson

☐ Corrected Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney

☐ Signed Declaration

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

**Attachment A (16 pgs);  
Return receipt postcard**

Remarks

\*\* does not include pages for listed ref: Attachment A Technology Center 2100

RECEIVED

APR 03 2002

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Patrick D. Benedicto, Reg No. 40,909 DEGUZMAN OKAMOTO & BENEDICTO, LLP
Signature	<i>Patrick Benedicto</i>
Date	March 13, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 03/13/2002			
Typed or printed name	Patrick D. Benedicto		
Signature	<i>Patrick Benedicto</i>	Date	March 13, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

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<b>PTO</b> <b>TRANSMITTAL</b> <b>FORM</b> (to be used for correspondence after initial filing) <b>PATENT &amp; TRADEMARK OFFICE</b>	<b>Application Number</b>	09/993,888	
	<b>Filing Date</b>	November 27, 2001	
	<b>First Named Inventor</b>	Mark E. Pennell	
	<b>Group Art Unit</b>	2152	
	<b>Examiner Name</b>	not yet known	
<b>Total Number of Pages in This Submission</b>	15	<b>Attorney Docket Number</b>	10005.000110

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)  <input checked="" type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Transmittal Form  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Power of Attorney  <input checked="" type="checkbox"/> Signed Declaration  <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Return receipt postcard</b>
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Patrick D. Benedicto, Reg. No. 40,909 DEGUZMAN OKAMOTO & BENEDICTO, LLP.
Signature	<i>Patrick Benedicto</i>
Date	January 16, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 1/16/02			
Typed or printed name	Patrick D. Benedicto		
Signature	<i>Patrick Benedicto</i>	Date	Jan. 16, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



2100

PATENT

MP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Mark E. Pennell, et al.	Examiner: not yet known
Serial No.: 09/993,888	Art Unit: 2152
Filed: November 27, 2001	Atty. Docket No.: 10005.000110
Title: Method and Apparatus For Defeating A Mechanism That Blocks Windows	

RECEIVED

APR 03 2002

Technology Center 2100

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Sir:

In response to the Notice Of Incomplete Reply (NONPROVISIONAL) mailed 02/28/2002 for the above-identified patent application, enclosed are the following:

- ☒ Attachment A, which is a copy of previously submitted Response to Notice to File Missing Parts of Nonprovisional Application; and
- ☒ Copy Notice Of Incomplete Reply (NONPROVISIONAL).

It is respectfully submitted that the Notice Of Incomplete Reply mailed 02/28/2002 for the above-identified patent application was generated in error. As is evident from Attachment A, the Response to Notice to File Missing Parts Of Nonprovisional application submitted on 01/16/2002 (received in the USPTO on 01/24/2002) included two sets of signed declarations. The first set of declaration included the signature of Mark E. Pennel, while the second set of

declaration included the signatures of L. Scott Primak and Charles Gilbert. Because the above-identified application only names the aforementioned three individuals as inventors, the submitted two sets of declarations constitute a complete signed declaration.

Because the Notice Of Incomplete Reply (NONPROVISIONAL) was generated in error, Applicants respectfully submit that they do not have to pay additional fees. However, if required for any reason, the Commissioner is hereby authorized to charge deposit account no. 50-2030.

If the Examiner has any questions or needs additional information, the Examiner is invited to contact the undersigned attorney at (650) 691-2030.

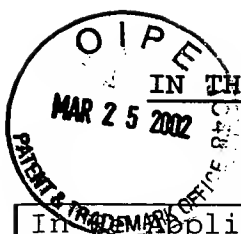
Dated: 3/13/02

Respectfully submitted,  
Mark E. Pennell et al.

By: Patrick Benedicto  
Patrick D. Benedicto  
Attorney For Applicant(s)  
Reg. No. 40,909  
DEGUZMAN OKAMOTO & BENEDICTO LLP  
P.O. Box 51900  
Palo Alto, California 94303  
(650) 691-2030; FAX(650) 691-2032 (FAX)

Enclosure(s)

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified herein, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<u>Patrick Benedicto</u>		
Typed or Printed Name:	Patrick D. Benedicto	Dated:	March 13, 2002
Express Mail Mailing Number (optional):			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Application of: Mark E. Pennell, et al.	Examiner: not yet known
Serial No.: 09/993,888	Art Unit: 2152
Filed: November 27, 2001	Atty. Docket No.: 10005.000110
Title: Method and Apparatus For Defeating A Mechanism That Blocks Windows	

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed 12/06/2001 for the above-identified patent application, enclosed are the following:

- ☒ A copy of the Notice to File Missing Parts of Nonprovisional Application;
- ☒ Power of Attorney;
- ☒ An original, signed Declaration;
- ☒ Payment in the amount of \$603.00 for the filing and surcharge fees;
- ☒ Applicant claims small entity status under 37 C.F.R. section 1.27.

If the Examiner has any questions or needs additional information, the Examiner is invited to contact the undersigned attorney at (650) 691-2030.

Dated: 1-16-02

Respectfully submitted,  
Mark E. Pennell

By: Patrick D. Benedicto  
Patrick D. Benedicto  
Attorney For Applicant(s)  
Reg. No. 40,909  
DEGUZMAN OKAMOTO & BENEDICTO LLP  
P.O. Box 51900  
Palo Alto, California 94303  
(650) 691-2030  
(650) 691-2032 (FAX)

Enclosure(s)

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified herein, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<u>Patrick D. Benedicto</u>		
Typed or Printed Name:	Patrick D. Benedicto	Dated:	Jan. 16, 2002
Express Mail Mailing Number (optional):			



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,888	11/27/2001	Mark E. Pennell	10005.000110

MAR 25 2002

PATENT &amp; TRADEMARK OFFICE

CONFIRMATION NO. 7693

## FORMALITIES LETTER



\*OC000000007166016\*

Patrick D. Benedicto  
deGuzman Okamoto & Benedicto LLP  
P.O. Box 51900  
Palo Alto, CA 94303

Date Mailed: 12/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$168.
  - \$126 for 14 total claims over 20.
  - \$42 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 603.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 25 2002

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,888	11/27/2001	Mark E. Pennell	10005.000110

CONFIRMATION NO. 7693

FORMALITIES LETTER



\*OC00000007556207\*

 Patrick D. Benedicto  
 deGuzman Okamoto & Benedicto LLP  
 P.O. Box 51900  
 Palo Alto, CA 94303

 RECEIVED  
 APR 03 2002  
 Technology Center 2100

Date Mailed: 02/28/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 01/24/2002 to the Notice to File Missing Parts (Notice) mailed 12/06/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is unsigned.

*A copy of this notice MUST be returned with the reply.*

Hanna Tomassa  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Attachment  
A

Date Mailed: January 16, 2002 By: PDB/jvm PTO DATE STAMP:  
Serial No.: 09/993,888 Docket No.: 10005.000110  
Applicant(s): Mark E. Pennell, L. Scott Primak, and Charles Gilbert  
Title: METHOD AND APPARATUS FOR DEFEATING A MECHANISM THAT BLOCKS WINDOWS

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ Transmittal Form ☒ Response to Notice to File Missing Parts of Nonprovisc Application  
☒ Oath/Declaration (signed) ☒ Copy of Notice to File Missing Parts of Nonprovisional Application  
☒ Declaration (supplemental sheet)  
☒ Power of Attorney  
☒ Fee Transmittal (In duplicate) ☒ Return receipt postcard  
☒ Check No. 1133 for \$ 603.00

DEGUZMAN OKAMOTO & BENEDICTO LLP 08-01  
2672 BAYSHORE PARKWAY, SUITE 509  
MOUNTAIN VIEW, CA 94043

WELLS FARGO BANK, N.A.  
STANFORD INDUSTRIAL PARK  
505 CALIFORNIA AVENUE  
PALO ALTO, CA 94306  
11-4288/1210

1133

1/16/2002

PAY TO THE Commissioner for Patents  
ORDER OF

\$ \*\*603.00

Six Hundred Three and 00/100\*\*\*\*\*

DOLLARS

Commissioner for Patents

PTO# 09/993,888 Docket# 10005.000110

MEMO

*Patricia Bened*

MP

⑈001133⑈ ⑆121042882⑆2246813998⑈

<b>FEE TRANSMITTAL</b> <b>for FY 2002</b> <small>Patent fees are subject to annual revision.</small>		<b>Complete if Known</b>													
<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;"> <b>MAR 25 2002</b>  <small>PTO</small>  <b>PATENT &amp; TRADEMARK OFFICE</b> </div>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/993,888</td> </tr> <tr> <td>Filing Date</td> <td>November 27, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Mark E. Pennell</td> </tr> <tr> <td>Examiner Name</td> <td>not yet known</td> </tr> <tr> <td>Group / Art Unit</td> <td>2152</td> </tr> <tr> <td>Attorney Docket No.</td> <td>10005.000110</td> </tr> </table>		Application Number	09/993,888	Filing Date	November 27, 2001	First Named Inventor	Mark E. Pennell	Examiner Name	not yet known	Group / Art Unit	2152	Attorney Docket No.	10005.000110
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Group / Art Unit	2152														
Attorney Docket No.	10005.000110														
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 603.00															

<b>METHOD OF PAYMENT (check one)</b>					<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 50-2030</p> <p>Deposit Account Name: deGuzman Okamoto &amp; Benedicto, LLP.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check    <input type="checkbox"/> Credit card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>					<p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>65</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table> <p>Other fee (specify) _____</p>					Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	65	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month		128	1,960	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,280	241	640	Petition to revive - unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application	
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138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																		
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																		
141	1,280	241	640	Petition to revive - unintentional																																																																																																																																																																																		
142	1,280	242	640	Utility issue fee (or reissue)																																																																																																																																																																																		
143	460	243	230	Design issue fee																																																																																																																																																																																		
144	620	244	310	Plant issue fee																																																																																																																																																																																		
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																		
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																		
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																																		
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																		
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																		
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																		
179	740	279	370	Request for Continued Examination (RCE)																																																																																																																																																																																		
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																		
<p><b>1. BASIC FILING FEE</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td>370</td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> <p style="text-align: right;"><b>SUBTOTAL (1)</b> (\$) 370</p>					Large Fee Code	Large Entity Fee (\$)	Small Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee	370	106	330	206	165	Design filing fee		107	510	207	255	Plant filing fee		108	740	208	370	Reissue filing fee		114	160	214	80	Provisional filing fee		<p><b>2. EXTRA CLAIM FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>Independent Claims</th> <th>Multiple Dependent</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>34</td> <td>-20</td> <td></td> <td>14</td> <td>9</td> <td>126</td> </tr> <tr> <td>4</td> <td>-3</td> <td></td> <td>1</td> <td>42</td> <td>42</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td>0</td> </tr> </tbody> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42</td><td>** Reissue independent claims over original patent</td><td></td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr> </tbody> </table> <p style="text-align: right;"><b>SUBTOTAL (2)</b> (\$) 168</p>					Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid	34	-20		14	9	126	4	-3		1	42	42						0	Large Fee Code	Large Entity Fee (\$)	Small Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20		102	84	202	42	Independent claims in excess of 3		104	280	204	140	Multiple dependent claim, if not paid		109	84	209	42	** Reissue independent claims over original patent		110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																														
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<p><small>**or number previously paid, if greater; For Reissues, see above</small></p>					<p><b>Other fee (specify) _____</b></p> <p><b>*Reduced by Basic Filing Fee Paid</b></p> <p style="text-align: right;"><b>SUBTOTAL (3)</b> (\$) 65</p>																																																																																																																																																																																	

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Name (Print/Type)	Patrick D. Benedicto	Registration No. Attorney/Agent)	40,909	Telephone	650-691-2030
Signature				Date	January 16, 2002

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